

*Draft - by [redacted]**DDCI*

OS REGISTRY

*FILE Security 18

MEMORANDUM FOR: Deputy Director of Central Intelligence

FROM : [redacted]
Special Assistant to the DCI

SUBJECT : APEX Control Organization

STAT

1. The APEX organization consists of two elements--an APEX Steering Group, and a small permanent staff (3-4 professionals) serving the Special Assistant to the DCI for Compartmentation (SA/DCI/C) who will also serve as the Chairman of the APEX Steering Group.

2. This organization will have principal responsibility for:

a. Development of common security and access standards for managing and handling foreign intelligence systems, information, and products.

b. The establishment of special access programs to control access, dissemination, and protection of particularly sensitive intelligence sources and methods.

3. In carrying out these responsibilities, the SA/DCI will task those organizations in the Community which currently have responsibilities pertaining to compartmented intelligence programs. These are the DCI Security Committee, the SIGINT Committee, COMIREX, and the managers of operational programs. The Steering Group will serve primarily as the energizer, monitor and arbiter of the various activities established by the APEX system. The actual formulation and administration of APEX policies, guidelines, and procedures will be carried out by the relevant DCI Committee. Formulation and administration of compartmented security policies, for example, would be the responsibility of the Compartmented Security Group and the DCI Security Committee. Tab A provides a listing of the APEX organization's general responsibilities and the Community organizations that will be tasked to implement them.

APEX Steering Group

4. The APEX Steering Group will be a Community organization. Its core membership should consist of the SA/DCI as Chairman and representatives from CIA, DIA, NSA, OSD, and NRO. In addition, the Security

Committee, COMIREX, and the SIGINT Committee should also be represented. The D/DCI/RM may wish to participate because of RMS responsibilities for Information Handling Systems and because of the resource requirements associated with the APEX central registry and the conversion of computer and communications programs. The documentation and studies produced under Steering Group auspices should receive full coordination with other members of the NFIB.

The APEX Control Staff

5. The APEX Control Staff should be representative of the Intelligence Community and not be dominated by any single agency. Assignments to the Staff should be on a nonrenewable, rotational basis so that a tenured staff does not develop. During the initial period at least, the leader of the organization (SA/DCI/C) should be a senior officer from the production side of the Community.

6. Given the small size of the permanent staff, it should function very much as a team effort. The responsibilities of the organization can be divided into four general areas, one of which would be the primary but not necessarily the sole responsibility of each of the four permanent officers. These areas are:

- a. Policies and Procedures
- b. Access and Product Standards
- c. Computer and Communications Programs
- d. Control Projects

The specific activities in each grouping are shown in Tab B.

Staffing Recommendations

7. The Special Assistant to the DCI obviously should be free to consult with NFIB Principals and to make his own selection of officers to serve on the permanent staff. For what they are worth, I would make the following nominations for some of the positions on the staff. I have not, however, consulted with any of the individuals named below to determine either their availability or interest in such an assignment.

8. The SA/DCI for Compartmentation should be a strong and experienced officer with an excellent reputation in the Community. I believe that meets these requirements very well. Fred is currently Chief of NFAC's Requirements and Evaluation Staff.

He has a sound background in intelligence, having served as a career intelligence officer with the Army, as an Assistant NIO, as a division chief in the Office of Current Intelligence, and as the head of the DDI's Center for Policy Support. He is well grounded in the analytical world and has a good understanding of collection systems. He has worked closely with the military intelligence community and has very good relations with NSA.

9. For Policies and Procedures Officer, I recommend [redacted] STAT
Bob is about to conclude a tour with the Office of the DCI and, as you know, is the head of the DCI Security component. He has worked for many years with the Security Committee and is one of the Community's best experts on SCI control systems. He was the principal drafter of ANNEX III of the Working Group report. He is highly motivated toward the goals of the APEX system and is widely known and respected throughout the Community.

10. As the Systems Conversion Officer, I put forward two names, both relatively junior officers but highly skilled in ADP matters. These are: [redacted] from DIA and [redacted] from Information Handling Staff, RMS. Busic chaired the Working Group panel which studied the conversion problems and, despite the contention about this aspect of the APEX program, his work was very impressive and highly professional. He was also one of the leading forces in the development of the 4C concept. [redacted] is a young officer working with [redacted] and did the principal staff work in the independent assessment requested by you of the estimates for computer and communications systems conversion. STAT

APEX CONTROL ORGANIZATION

	<u>Responsibilities</u>	<u>Community Action Units</u>
STAT	1. Overall responsibility for the APEX security control system and policies.	<ul style="list-style-type: none"> ◦ Community network of APEX Control Officers
STAT	2. Staff for DCI the reviews and approvals required for programs contained in the APEX system, including projects approved for [redacted] dissemination controls.	<ul style="list-style-type: none"> ◦ DCI Security Committee, COMIREX and SIGINT Committee
STAT	3. Oversight of APEX matters in organizations outside the Intelligence Community including access certifications, briefings and indoctrination, and foreign liaison.	<ul style="list-style-type: none"> ◦ Community Senior Intelligence Officers
STAT	4. Develop APEX policy and procedural manuals and coordination of supplementary manuals or procedures developed by participants in the APEX system.	<ul style="list-style-type: none"> ◦ SAFSS, Navy, NSA
STAT	5. Develop 4C Control System, the Central APEX Access Registry, and review and staffing of APEX access certifications.	<ul style="list-style-type: none"> ◦ Special Security Center, Office of Security, CIA
STAT	6. Policy direction and guidance for the network of APEX Control Officers, APEX Security Officers and [redacted] Control Officers.	<ul style="list-style-type: none"> ◦ DCI Collection Tasking Staff ◦ COMIREX and SIGINT Committee ◦ DCI Security, COMIREX and SIGINT Committee ◦ SAFSS, Navy, NSA ◦ CIA Office of Security, Compartmented Information Branch, and Office of Data Processing ◦ DCI Security Committee ◦ Senior Intelligence Officers ◦ DCI Security Committee ◦ COMIREX ◦ SIGINT Committee

TAB B

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APEX CONTROL ORGANIZATION

SA/DCI
FOR
COMPARTMENTATION

APEX STEERING GROUP
CIA DIA OSD
NSA NRO RMS
SECOM COMIREX
SIGINT Committee

APEX CONTROL STAFF

Policies & Procedures	Access and Product Standards	Computer Communications Systems	Control Projects
- Liaison with DCI Committees	- Access Certifications <ul style="list-style-type: none">° Operational Compartments° Operational Subcompartments° Product Compartments- Documentation- Document Control Procedures- Codeword Markings	- 4C Control System <ul style="list-style-type: none">- Central Access Registry- Computer Programs- Communications Systems	<ul style="list-style-type: none">- APEX HUMINT
- Security Policy Manuals			<ul style="list-style-type: none">° Standards° Nominations and Certification° Control Network° Annual Review
- User Manuals			
- Decompartmentation and Sanitization Guidelines			

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